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Quantifying the proportion of different cell types in the human cortex using DNA methylation profiles

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Abstract

Background Due to interindividual variation in the cellular composition of the human cortex, it is essential that covariates that capture these differences are included in epigenome-wide association studies using bulk tissue. As experimentally derived cell counts are often unavailable, computational solutions have been adopted to estimate the proportion of different cell types using DNA methylation data. Here, we validate and profile the use of an expanded reference DNA methylation dataset incorporating two neuronal and three glial cell subtypes for quantifying the cellular composition of the human cortex.

Results We tested eight reference panels containing different combinations of neuronal- and glial cell types and characterised their performance in deconvoluting cell proportions from computationally reconstructed or empirically derived human cortex DNA methylation data. Our analyses demonstrate that while these novel brain deconvolution models produce accurate estimates of cellular proportions from profiles generated on postnatal human cortex samples, they are not appropriate for the use in prenatal cortex or cerebellum tissue samples. Applying our models to an extensive collection of empirical datasets, we show that glial cells are twice as abundant as neuronal cells in the human cortex and identify significant associations between increased Alzheimer's disease neuropathology and the proportion of specific cell types including a decrease in NeuNNeg/SOX10Neg nuclei and an increase of NeuNNeg/SOX10Pos nuclei.

Conclusions Our novel deconvolution models produce accurate estimates for cell proportions in the human cortex. These models are available as a resource to the community enabling the control of cellular heterogeneity in epigenetic studies of brain disorders performed on bulk cortex tissue.

Keywords DNA methylation, Brain, Neurons, Glia, Cellular heterogeneity, Alzheimer's disease

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Background

Recent years have seen acute interest in the role of epigenetic variation in the pathogenesis of disease. Although a number of different epigenetic mechanisms are involved in transcriptional regulation, the field of epigenetic epidemiology has focused primarily on DNA methylation (DNAm). DNAm can be quantified genome-wide using a commercial microarray [1, 2], making it cost effective to profile the large sample numbers required to detect statistically robust associations [3]. Unlike genetic association studies, the choice of tissue for profiling epigenetic variation is a critical part of the study design for epigenome-wide association studies (EWAS). As the epigenome orchestrates the gene expression changes underpinning cellular differentiation, genome-wide patterns of DNAm are primarily defined by the tissue or cell type that the DNA sample originates from [4–7]. Therefore, a major caveat of profiling DNAm in samples isolated from ‘bulk’ tissue (e.g. whole blood or brain tissue) is that each is comprised of DNA from a heterogeneous mix of different cell types, with the resulting profile being an aggregate of each constituent cell type.

To date, most epigenetic datasets have been generated on DNA samples isolated from bulk tissues [8]. As the proportion of each cell type within a sample can vary across individuals, systematic differences in cellular proportions that correlate with the phenotype of interest (e.g. pathology-associated changes in the abundance of a specific cell type) may manifest as differences in the overall epigenetic profile [9]. For example, Alzheimer’s disease is characterised by extensive neuronal loss [10, 11] in conjunction with glial cell activation and proliferation in the cortex [12, 13]. A previous study using an isotropic fractionator to quantify the cellular composition of the brain of both healthy controls and Alzheimer’s disease patients detected not only significant changes in the number of neurons between the groups but reported dramatically different percentages [14]. While the cortex of the healthy controls had on average 38% neurons and 62% non-neurons, the Alzheimer’s disease patients had a mean of 23% neurons and 77% non-neurons. Adjusting analyses with quantitative covariates that capture the cellular composition of each sample has been widely adopted as the solution for minimising false positives. As experimentally derived cell counts are often not available, computational solutions have been proposed as an alternative.

These computational solutions are often referred to as deconvolution algorithms, due to their objective of identifying the constituent elements from a heterogeneous sample. They are not related to convolutional neural networks. Deconvolution algorithms calculate a

series of continuous variables reflecting the underlying cellular heterogeneity of each sample from the bulk tissue profile. Deconvolution algorithms can be separated into two classes—supervised methods (known as ‘reference-based’ algorithms) [15–21] and unsupervised methods (known as ‘reference free’) [22–25].

Reference-based methods in particular have been successfully used to control for cellular heterogeneity in DNAm studies of whole blood [26]. However, because this approach requires reference DNAm profiles for each constituent cell type of interest, they are not applicable to the study of all tissues. Similarly, although reference profiles exist for deconvoluting cellular proportions from DNAm data generated on bulk cortex tissue, these are currently limited to estimating the abundance of neuronal and non-neuronal cells [17]—and do not capture the full complexity or diversity of cell types present in the brain [27, 28]. We and others have recently developed experimental protocols using Fluorescence-Activated Nuclei Sorting (FANS) to purify populations of nuclei from multiple cell types in post-mortem human cortex tissue [29–31]. These methods have enabled us to refine the non-neuronal (predominantly glial) cell population and generate reference DNAm profiles for oligodendrocyte, microglia, and astrocyte nuclei that can be used for the cellular deconvolution of DNAm data generated on bulk cortex.

In this study, we profile the use of these novel cell reference datasets in conjunction with the widely used Houseman deconvolution algorithm [16]—a constrained projection methodology—for quantifying the cellular composition of the human cortex. First, we validate the use of these reference data with computationally simulated ‘bulk’ cortex profiles, where the proportion of different cell types is predetermined. Second, we apply these reference panels to empirical DNAm datasets generated from bulk cortex tissue samples to profile how deconvolution performance, as well as cellular composition, varies across brain regions and development. Finally, we demonstrate how the quantification of these refined brain cell types can be used as phenotypic variables for detecting known cellular changes associated with neuropathology in Alzheimer’s disease. To enable the wider research community to incorporate our novel cellular composition estimates into their workflow, our enhanced reference panels are available via the R CETYGO [32] package on GitHub. Beyond the estimation of cell-type proportions in the human cortex, our analyses provide broader insights into the methodology of cellular deconvolution that are applicable for studies involving other cell types and tissues.

Results

Further refinement of neural cell types confirmed with distinct genome-wide DNAm profiles

We used a FANS protocol previously described by our group [33] to purify nuclei populations from prefrontal cortex tissue dissected from 43 adult donors. Our initial gating strategy used an antibody against NeuN (a robust marker of post-mitotic neurons [34]) to isolate neuronal nuclei in combination with an antibody against SOX10 (a transcription factor involved in the differentiation of oligodendrocytes [35]) to distinguish oligodendrocyte nuclei from other glial nuclei (Additional File 1: Supplementary Figure 1A). Subsequently, in a second gating strategy, we additionally included an antibody against IRF8 (a transcription factor that is upregulated in microglia [36]) to enrich microglia from the NeuNNeg/SOX10Neg fraction (Additional File 1: Supplementary Figure 1B). Our third gating strategy used an antibody against SATB2 (a DNA binding protein involved in transcriptional regulation and chromatin remodelling which is expressed in excitatory neurons in the mature central nervous system [37]) in place of NeuN (Additional File 1: Supplementary Figure 1C). We generated DNAm profiles using the Illumina EPIC array for NeuNPos (neuron enriched; $n=28$), NeuNNeg/SOX10Pos (oligodendrocyte enriched; $n=24$), NeuNNeg/SOX10Neg (microglia and astrocyte enriched; $n=21$), NeuNNeg/SOX10Neg/IRF8Pos (microglia enriched; $n=17$), NeuNNeg/SOX10Neg/IRF8Neg (astrocyte enriched; $n=7$), SATB2Pos (excitatory neuron enriched; $n=9$) and SATB2Neg (inhibitory neuron and glial enriched; $n=6$) nuclei populations (Additional File 2: Supplementary Table 1 & 2). To confirm that cell-type differences were the primary drivers of variation in DNAm across samples, principal component (PC) analysis was used (Additional File 1: Supplementary Figure 2). The first PC, which explains 43.2% of the variance in DNAm, separates the NeuNPos fractions (NeuNPos and SATB2Pos) from the other nuclei populations. The second PC, which explains 28.8% of the variance, separates the NeuNNeg/SOX10Neg/IRF8Pos samples from the NeuNNeg/SOX10Neg/IRF8Neg samples, with NeuNNeg/SOX10Neg samples, the parent fraction, in between these extremes. While the third PC, which explains 3.7% of the variance, does highlight differences between nuclei fractions, this does not correlate with any of the antibodies we used to isolate specific cell types. It appears to capture a difference between the NeuNNeg/SOX10Neg and the NeuNNeg/SOX10Neg/IRF8Pos fractions with NeuNNeg/SOX10Neg/IRF8Neg sitting in the middle. This could indicate that there is another cell type, which we have not isolated, characterised as NeuNNeg/SOX10Neg/IRF8Neg that is lost during the IRF8 gating but retained in the NeuNNeg/SOX10Neg fraction. All

subsequent PCs, which each explain <3% of the variance, do not correlate with a specific nuclei population and therefore likely reflect technical or biological sources of variation in DNAm between samples.

In order to increase the specificity of brain cell types in our subsequent deconvolution analyses, we augmented our data with publicly available data from the EpiGABA [38] study in which the NeuNPos nuclei population is further refined using an antibody against SOX6 [39] (Additional File 1: Supplementary Figure 1D) using the Illumina 450 K array to generate NeuNPos/SOX6Pos (GABAergic neuronal enriched; $n=4$), NeuNPos/SOX6Neg (glutamatergic neuronal enriched; $n=3$), and NeuNNeg (glial enriched; $n=4$) nuclei populations isolated from occipital cortex tissue. PC analysis of this combined dataset (123 samples from 47 donors; Fig. 1) showed that PC1 (explaining 39.9% of the variance) still separates neuronal and non-neuronal nuclei, with the NeuNPos/SOX6Pos and NeuNPos/SOX6Neg clustering with the NeuNPos and SATB2Pos samples and the NeuNNeg clustering with the other glial fractions. PC2 (explaining 23.9% of the variance) still separates NeuNNeg/SOX10Pos from NeuNNeg/SOX10Neg/IRF8Pos, with NeuNNeg samples located in between these extremes reflecting the fact that this population contains nuclei from both of these subfractions. PC3 (explaining 11.7% of the variance) separates the two sets of data and likely reflects technical differences (e.g. different array types and other experimental batch effects). These results highlight that the major cell-type differences in DNAm are highly reproducible across data generated in different laboratories and dominate over batch effects and inter-individual differences. We therefore decided that for the purposes of generating the most extensive set of cellular composition estimates, we would merge our data with the EpiGABA DNAm data into a single dataset.

Accuracy of cellular composition estimation depends on the combination of cell types included in the reference panel

Given the large number of nuclei fractions included in our final DNAm reference dataset, some of which target overlapping cell populations due to the different FANS gating strategies used, we defined 8 different combinations of cell types to serve as reference panels for the deconvolution of cellular composition of cortical DNAm data (Table 1, Additional File 1: Supplementary Figure 3). Six of these represent mostly complete, non-overlapping and increasingly refined combinations, whereby any given cell type should be contained within a single fraction. These enabled us to characterise how deconvolution performance was affected by increasing the specificity of cellular composition. Two of the panels (4 and 5), contain

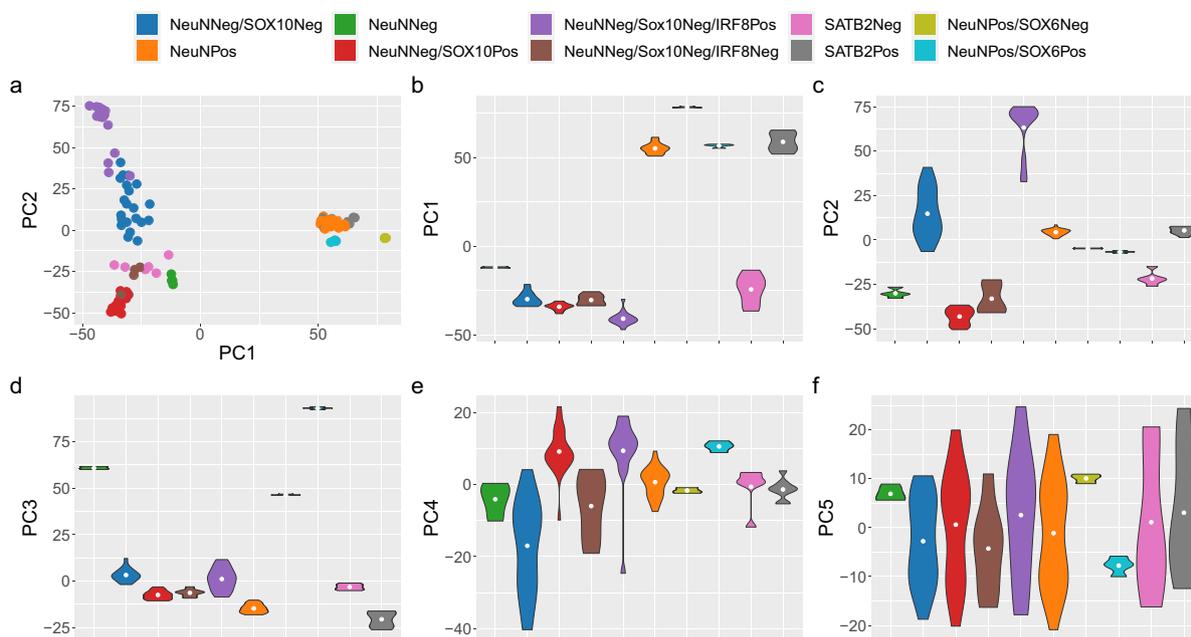


Fig. 1 Major axes of variation in DNA methylation data are driven by cell type. Scatterplot (a) of the first two principal components where each point represents a sample ($n = 123$ samples from 47 donors) and the colour of the point indicates the nuclei fraction. Violin plots for the first 5 principal components (b-f) grouped by nuclei fraction (sample sizes can be found in Additional File 2: Supplementary Table 1). DoubleNeg (NeuNNeg/SOX10Neg; $n = 21$), IRF8Pos (NeuNNeg/SOX10Neg/IRF8Pos; $n = 17$), NeuNNeg (NeuNNeg; $n = 4$), NeuNPos ($n = 28$), SATB2Neg ($n = 6$), SATB2Pos ($n = 9$), SOX6Neg (NeuNPos/SOX6Neg; $n = 3$), SOX6Pos (NeuNPos/SOX6Pos; $n = 4$), Sox10Pos (NeuNNeg/SOX10Pos; $n = 24$), TripleNeg (NeuNNeg/SOX10Neg/IRF8Neg; $n = 7$)

overlapping fractions (SATB2Pos and NeuNPos), that both capture excitatory neuronal nuclei. These panels were included to observe how the algorithm handles this direct conflict.

To compare the performance of the different panels, we performed a series of simulations where we could contrast predicted composition against a known truth (Additional File 1: Supplementary Figure 4). Briefly for each panel, we held one sample of each nuclei fraction back, and selected the sites for deconvolution using all other samples for that fraction. We then used the excluded sample to construct bulk brain DNAm profiles where we combined cell-specific profiles in a weighted linear sum of pre-specified proportions of each cell type (see ‘Methods’). As well as comparing 8 different combinations of cell types, for panels with >2 fractions, we also compared two methods, ANOVA and IDOL (Identifying Optimal Libraries) algorithm [20], for selecting cell-specific sites that are the basis of the algorithm. In total 15 different training models were considered in the Houseman constraint projection deconvolution methodology [16] using these learnt parameters to estimate the cellular composition of a bulk profile. Overall accuracy of the deconvolution was captured by two metrics, the CETYGO score [32], which quantifies the accuracy of cellular deconvolution where the true cellular

composition is unknown, and root mean square error (RMSE), which requires the cellular composition to be known.

In general, each reference panel combination yielded highly accurate estimates of cell proportions (average CETYGO score < 0.10 using either ANOVA or IDOL) with performance being comparable across the different panels and site selection methods (Fig. 2, Additional File 1: Supplementary Figure 5, Additional File 2: Supplementary Table 3). For each reference panel, we performed the deconvolutions with increasing numbers of cell-specific sites but found that this had little effect on the accuracy of the deconvolution (Additional File 1: Supplementary Figure 6, Additional File 1: Supplementary Figure 7). Marginally the best panel, measured by both the CETYGO score and RMSE, was panel 6 (NeuNPos/SOX6Pos, NeuNPos/SOX6Neg, NeuNNeg). Of note, the separation of the NeuNNeg/SOX10Neg fraction into NeuNNeg/SOX10Neg/IRF8Pos and NeuNNeg/SOX10Neg/IRF8Neg (e.g. comparing panel 1 with panel 2) was associated with a slightly lower CETYGO score, indicative of a composition profile that captured more of the variation in the bulk tissue. This was generally also true of the separation of the NeuNPos fraction into NeuNPos/SOX6Pos and NeuNPos/SOX6Neg fractions (e.g. comparing panel 2 with panel 8) although not ubiquitously the case. In contrast, more refined cellular deconvolution

Table 1 Summary of nuclei fractions included in the reference panels

Panel	Included fractions	Number of samples
1	NeuNPos	28
	NeuNNeg/SOX10Pos	24
	NeuNNeg/SOX10Neg	21
2	NeuNPos	28
	NeuNNeg/SOX10Pos	24
	NeuNNeg/SOX10Neg/IRF8Pos	17
	NeuNNeg/SOX10Neg/IRF8Neg	7
3	SATB2Pos	9
	SATB2Neg	6
4	NeuNPos	28
	SATB2Pos	9
	NeuNNeg/SOX10Pos	24
	NeuNNeg/SOX10Neg	21
5	NeuNPos	28
	SATB2Pos	9
	NeuNNeg/SOX10Pos	24
	NeuNNeg/SOX10Neg/IRF8Pos	17
	NeuNNeg/SOX10Neg/IRF8Neg	7
6	NeuNPos/SOX6Pos	4
	NeuNPos/SOX6Neg	3
	NeuNNeg	4
7	NeuNPos/SOX6Pos	4
	NeuNPos/SOX6Neg	3
	NeuNNeg/SOX10Pos	24
	NeuNNeg/SOX10Neg	21
	NeuNNeg/SOX10Neg/IRF8Pos	17
8	NeuNPos/SOX6Pos	4
	NeuNPos/SOX6Neg	3
	NeuNNeg/SOX10Pos	24
	NeuNNeg/SOX10Neg/IRF8Pos	17
	NeuNNeg/SOX10Neg/IRF8Neg	7

models (i.e. incorporating more cell types) were associated with a slightly higher RMSE (Additional File 1: Supplementary Figure 5) indicating that although the inclusion of more cell types gives a better representation of the variation in a bulk tissue, the estimates of the individual fractions are associated with a higher degree of error. We also observed this pattern when comparing the reference panels that consist of both SATB2Pos and NeuNPos (panels 4 and 5).

Looking more specifically at the accuracy of estimating the proportion of particular nuclei fractions, we observe noticeable variation in the degree of accuracy (Fig. 3, Additional File 2: Supplementary Table 4, Additional File 1: Supplementary Figures 8–15). Some cell types performed consistently accurately, regardless of which reference panel was used. Furthermore, we could group cell types based on their summary statistics. As described above, the accuracy of estimating the proportion of NeuNPos and SATB2Pos nuclei was dramatically reduced in the two reference panels (4 and 5) where they were both included and therefore all subsequent analyses focused on panels where either one or the other was used. The top performing cell fractions with near perfect estimates included NeuNPos, NeuNNeg, NeuNPos/SOX6Pos, and NeuNPos/SOX6Neg (all $r \geq 0.99$ and $RMSE \leq 0.02$, Additional File 2: Supplementary Table 4). NeuNNeg/SOX10Neg, NeuNNeg/SOX10Neg/IRF8Pos, SATB2Pos, and SATB2Neg are associated with marginally larger errors but still perform well with $r \geq 0.92$ and $RMSE \leq 0.06$. Of note, the NeuNNeg/SOX10Pos fraction showed the most variation across panels. When included in a panel where the NeuNNeg/

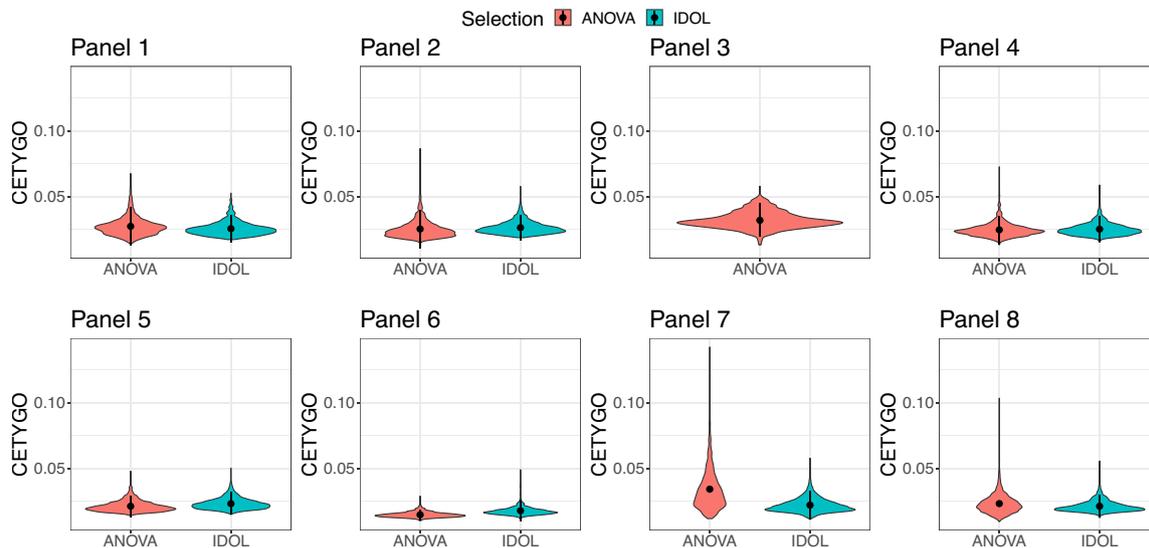


Fig. 2 Accurate and increasingly refined estimation of cellular composition of the cortex from DNA methylation profiles. Violin plots of the error, measured by the CETYGO score, associated with estimating the cellular proportions of reconstructed cortical brain profiles. Panels represent different combinations of nuclei populations, as defined in Table 1, with reconstructed cortical profiles generate to capture the full spectrum of cellular heterogeneity ($n = 90-1260$). For reference panels with more than two cell types, two methods were used to select the cell-specific sites that serve as the basis for the algorithm represented by different violins

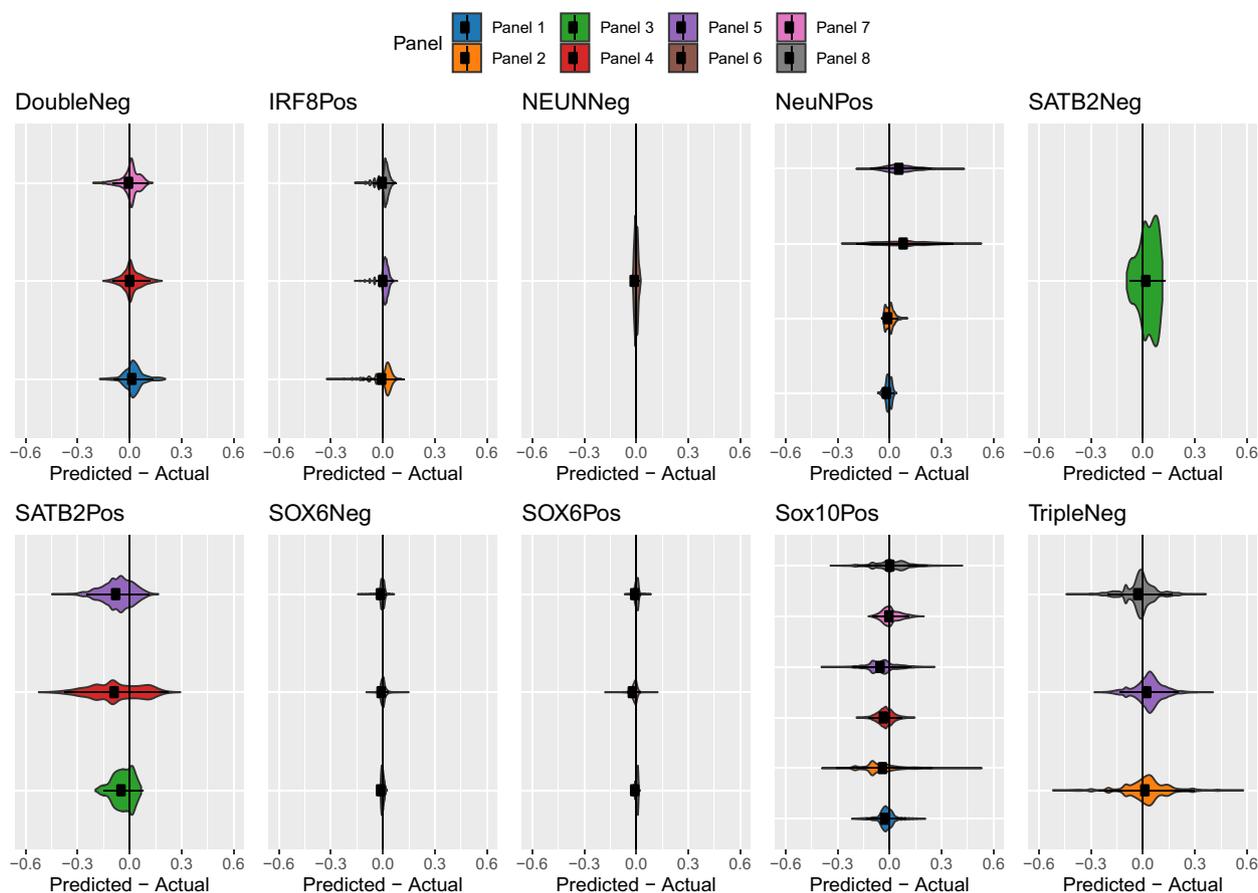


Fig. 3 Accuracy and bias differs across cell types when estimating the cellular composition of the cortex. Violin plots of the error associated with estimating the cellular proportions of reconstructed cortical brain profiles, measured as the difference between predicted and actual abundance, where a positive value indicates an overestimation. Panels collate results for the same cell type, within each panel, values are grouped by reference panels, as defined in Table 1. For each reference panel reconstructed cortical profiles were generated to capture the full spectrum of cellular heterogeneity ($n=90-1260$)

SOX10Neg fraction was replaced with the NeuNNeg/SOX10Neg/IRF8Pos and NeuNNeg/SOX10Neg/IRF8Neg fractions, this had a dramatic effect on the accuracy of NeuNNeg/SOX10Pos estimates, with the correlation statistic (r) decreasing from ~ 0.95 to ~ 0.7 and the RMSE doubling from ~ 0.05 to >0.1 . The best statistics for predicting the NeuNNeg/SOX10Neg/IRF8Neg fraction come from panel 5 (which interestingly includes both SATB2Pos and NeuNPos) with $r=0.81$ and $RMSE=0.09$; of note, this fraction provides the least accurate prediction metrics. Instead considering the (signed) error, we observed that some cell types were associated with a particular bias in their estimation; for example, both NeuNNeg/SOX10Neg (median error= $0-0.02$) and NeuNNeg/SOX10Neg/IRF8Pos (median error= $0.01-0.03$) were typically overestimated (Fig. 3, Additional File 2: Supplementary Table 4). These results highlight how the accuracy of prediction for a given cell type is influenced by which other cell types are included in the deconvolution model, even when using a

non-overlapping reference panel. Additionally, our results indicate that the accurate estimation of one cell type in a panel does not necessarily mean that the proportions of other cell types in that panel are also well estimated. A natural consequence of these conclusions is that to get the most precise estimates of a diverse set of cell types, different reference panels may need to be utilised in parallel. All these analyses were repeated using the IDOL method for selecting cell-specific sites for deconvolution, and there was no clear evidence that one method for selecting cell-specific prediction sites outperformed the other (Fig. 3, Additional File 1: Supplementary Figure 5).

Technical variation influences the accuracy of cellular deconvolution

Having demonstrated that our new reference panels for cellular deconvolution are capable of calculating accurate estimates of cellular composition in the cortex, we used them to calculate estimates in two large bulk

DNAm datasets generated using adult prefrontal cortex tissue. The first dataset (the ‘Exeter’ dataset) incorporates a number of datasets generated by our group ($n=377$, age range=19–108 years old) [40–44] and the second represents a publicly available dataset described by Jaffe et al. ($n=415$, age range=18–97 years old) [45]. Profiling the accuracy of the deconvolution using the CETYGO score highlighted that all panels performed well (mean CETYGO < 0.10), with reference panel 6 (NeuNPos/SOX6Pos, NeuNPos/SOX6Neg, NeuNNeg) being associated with the lowest scores (Additional File 1: Supplementary Figure 16) consistent with the simulation results. This was closely followed by panels 7 (NeuNPos/SOX6Pos, NeuNPos/SOX6Neg, NeuNNeg/SOX10Pos, NeuNNeg/SOX10Neg) and 8 (NeuNPos/SOX6Pos, NeuNPos/SOX6Neg, NeuNNeg/SOX10Pos, NeuNNeg/SOX10Neg/IRF8Pos, NeuNNeg/SOX10Neg/IRF8Neg), with the other 5 panels performing similarly. Of note, CETYGO scores were strongly correlated across panels (Additional File 1: Supplementary Figure 17), suggesting that regardless of reference panel, there are other important influences on the accuracy of the estimates, such as data quality.

Subsequently, testing for biological or technical factors that influence the accuracy of cellular deconvolution we

found that the CETYGO score was significantly associated with batch (Fig. 4A) in both datasets, across all reference panels (Additional File 2: Supplementary Table 5). There was a significant effect ($P < 3.3 \times 10^{-3}$ corrected for 15 training models) of sex on the CETYGO score for 4 models in the Exeter dataset and 10 models in the Jaffe dataset (Additional File 2: Supplementary Table 5). In all cases, females were associated with a slightly lower average error (Additional File 1: Supplementary Figure 18) especially when the ANOVA method was used to select cell-specific sites (11/14 significant associations), despite more male samples being included. Of note, there was no association with age or age squared on prediction accuracy in either dataset (Additional File 2: Supplementary Table 5).

Neural cellular deconvolution panels derived from adult cortical samples do not effectively capture cellular heterogeneity in the cerebellum or foetal DNAm datasets

While our reference profiles were generated from populations of nuclei isolated from prefrontal and occipital cortical tissue, they are potentially relevant for estimating the proportion of the same cell types in other brain regions, especially other regions of the cortex. We performed cellular deconvolution using

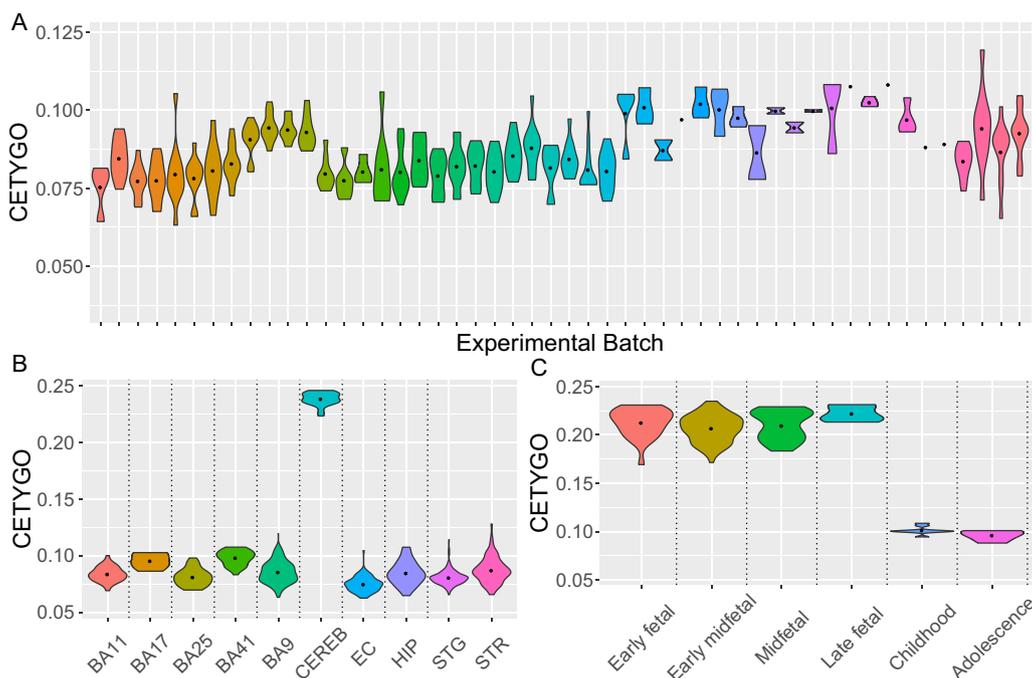


Fig. 4 Performance of brain cellular deconvolution models is not equitable across all brain datasets. Violin plots of the distribution of the error, measured by the CETYGO score, associated with estimating the cellular proportions from DNA methylation data generated from brain tissues. **A** Adult prefrontal cortex samples grouped by experimental batch ($n=377$). **B** Adult brain samples grouped by brain region ($n=851$). **C** Prenatal and childhood cortical samples grouped by developmental stage ($n=167$). CETYGO scores taken from reference panel 1 with an ANOVA to select cell-specific sites

DNAm profiles from an additional 851 samples (age range=19–108 years old) [40–43, 46, 47] generated by our group from 9 other brain regions including additional cortical regions, the striatum, the hippocampus, and cerebellum (Additional File 2: Supplementary Table 6). These analyses showed that the CETYGO scores in cerebellum samples are dramatically elevated, indicating that the cellular composition estimates for this tissue are unlikely to be accurate (Fig. 4B, Additional File 1: Supplementary Figure 19). It is known, for example, that the predominant neuronal subtype in the cerebellum (Purkinje cells) do not express NeuN [48]. We also observe subtle differences in performance between the other 8 regions, although the distribution of CETYGO scores largely overlap with those observed in the prefrontal cortex (Additional File 2: Supplementary Table 7).

We also wanted to confirm whether our reference panels were suitable for use in samples from donors at earlier stages of development. To this end, we used 167 prenatal and childhood DNAm profiles generated from bulk cortex samples by our group (age range=23 days post conception–17 years old) [40, 49]. We found consistently elevated CETYGO scores in the prenatal samples regardless of the specific developmental stage, comparable with those seen in the cerebellum samples (Fig. 4C, Additional File 1: Supplementary Figure 20) suggesting that bespoke reference panels are required to estimate cellular proportions in prenatal cortex tissues. The distribution of postnatal childhood and adolescent samples CETYGO scores are comparable to adult scores. Of interest, reference panel 3 has the smallest difference between prenatal and postnatal CETYGO scores reflecting the fact that SATB2

is a more robust marker of neuronal cells than NeuN in the prenatal cortex [50].

Variable abundance of neuronal and glial cells in the adult prefrontal cortex

While there has been a fair degree of interest in profiling the cellular heterogeneity of the brain, variation in study design and methodologies have made it challenging to harmonise existing fields into a single estimate for the cortex [51]. Confident that we can derive accurate estimates of cellular proportions in the adult cortex, we used our novel reference panels to characterise the cellular composition of the adult cortex using both datasets. In order to make inferences about the relative proportions of different subtypes of neurons and glial cells, we limited these comparisons to the estimates derived from reference panel 8, which contained the most specific combination of cell fractions using the IDOL method to select cell-specific sites. Plotting the distribution of cellular composition, we observe high levels of interindividual variation (Fig. 5, Table 2) across the samples. Glial cells were more abundant than neuronal cells (Exeter: mean neuronal proportion 0.34 (SD=0.06) vs mean glial proportion 0.68 (SD=0.07), Jaffe: mean neuronal proportion 0.31 (SD=0.06) vs mean glial proportion 0.71 (SD=0.07)). Within the neuronal cells, NeuNPos/SOX6Neg were more abundant on average (Exeter: mean=0.301 (SD=0.06), Jaffe: mean=0.30 (SD=0.06)) than NeuNPos/SOX6Pos cells (Exeter: mean=0.03 (SD=0.02), Jaffe: mean=0.01 (SD=9.9×10⁻³)). Within the glial cells, the NeuN-Neg/SOX10Pos were most abundant on average (Exeter: mean proportion=0.27 (SD=0.15), Jaffe: mean

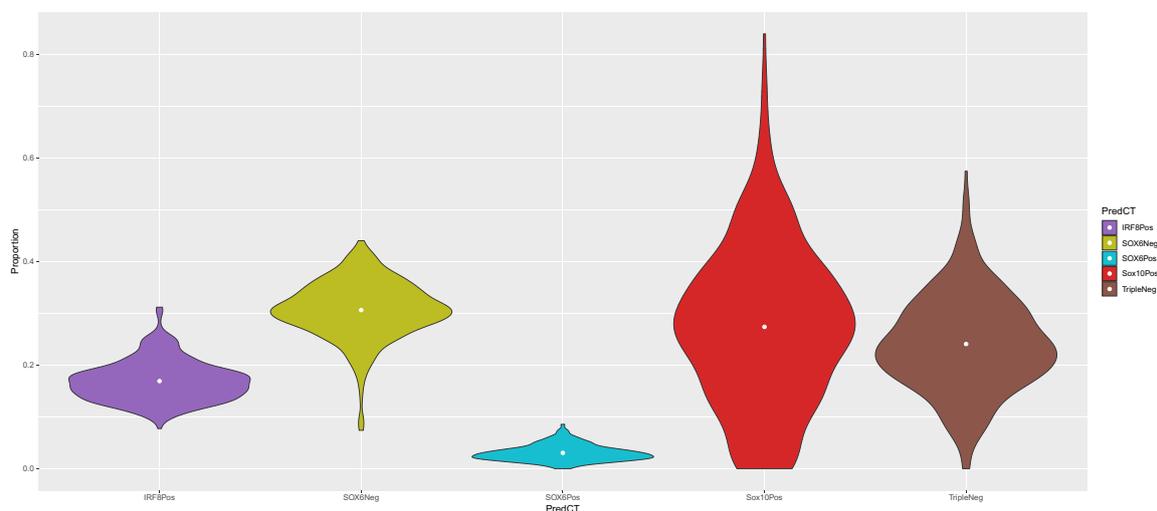


Fig. 5 Cellular composition of adult prefrontal cortex. Violin plots of the distribution of the proportion of brain cell types in the adult prefrontal cortex ($n=377$), estimated using reference panel 8 and the IDOL algorithm for selecting cell-specific sites

Table 2 Summary of proportions of cell types in the adult prefrontal cortex

Cell types			Exeter		Jaffe	
			Mean	SD	Mean	SD
Neuronal	All		0.336	0.0628	0.309	0.0582
	NeuNPos/SOX6Neg	Excitatory (glutamatergic) neuronal enriched	0.306	0.0557	0.295	0.0555
	NeuNPos/SOX6Pos	Inhibitory (GABAergic) neuronal enriched	0.0303	0.0155	0.013	0.0099
Glial	All		0.683	0.0723	0.711	0.0692
	NeuNNeg/SOX10Neg/IRF8Pos	Microglia enriched	0.169	0.0388	0.175	0.0289
	NeuNNeg/SOX10Pos	Oligodendrocyte enriched	0.274	0.153	0.305	0.133
	NeuNNeg/SOX10Neg/IRF8Neg	Astrocyte enriched	0.241	0.0928	0.232	0.0807

proportion = 0.30 (SD = 0.13)) followed by the NeuNNeg/SOX10Neg/IRF8Neg (Exeter: mean proportion = 0.24 (SD = 0.09), Jaffe: mean proportion = 0.23 (SD = 0.08)). NeuNNeg/SOX10Neg/IRF8Pos was the least abundant predicted fraction ((Exeter: mean proportion = 0.17 (SD = 0.04), Jaffe: mean proportion = 0.18 (SD = 0.03)). The broad consistency across datasets in these relative abundance estimates supports the notion of an average predetermined ratio of brain cells to underpin brain function but that this is highly variable across individuals. It is, therefore, important to quantify cellular composition accurately for the purposes of controlling for potential confounding and they may indeed be an interesting phenotype themselves in the study of brain development and brain disease.

Exploring this further, we were interested if there were any biological factors associated with the variation in cellular composition we observed. To streamline these analyses, we selected the optimal reference models for estimating the composition of each cell fraction (Additional File 2: Supplementary Table 8), noting that correlations between fractions across panels were very high (Additional File 1: Supplementary Figure 21). Testing the proportion of each cell type against age and sex, the only association that survived multiple testing in both datasets ($P < 5 \times 10^{-3}$, corrected for 10 cell types) was a higher proportion of NeuNPos/SOX6Pos cells in males (Exeter mean difference in males = 2.3×10^{-3} , $P = 5.8 \times 10^{-5}$; Jaffe mean difference in males = 6.5×10^{-3} , $P = 7 \times 10^{-5}$) (Additional File 2: Supplementary Table 9; Additional File 1: Supplementary Figures 22–25).

The degree of Alzheimer's disease neuropathology is associated with DNAm-derived estimates of neuronal and glial composition

Finally, we were interested in whether the added specificity of our cellular composition estimates could enhance our understanding of the neuropathology of Alzheimer's disease using data from recent analyses of DNAm

differences associated with tau and amyloid pathology using bulk cortex [31]. We estimated cellular proportions for each of the 10 fractions across three datasets where DNAm had been profiled in bulk prefrontal cortex tissue samples (total $N = 864$; Additional File 2: Supplementary Table 10) [31, 43, 44]. To ensure our subsequent analysis of cellular proportions were not biased, we first tested whether increasing tau pathology (quantified by Braak stage) influences the accuracy of cellular deconvolution. Although all models showed the same trend of decreasing CETYGO scores associated with increasing neurofibrillary tau tangles (Additional File 2: Supplementary Table 11), only the CETYGO scores from reference panel 3 (mean change per Braak stage = -9.1×10^{-4} , $P = 8.2 \times 10^{-5}$) were significantly related to pathology ($P < 3.3 \times 10^{-3}$, corrected for 15 models). We found a significant association ($P < 5 \times 10^{-3}$, corrected for 10 cell types) for the prevalence of two estimated cell fractions with increasing levels of Alzheimer's disease pathology (Fig. 6, Additional File 2: Supplementary Table 12). These data detected a decrease in the proportion of NeuNNeg/SOX10Neg nuclei (mean change per Braak stage = -4.6×10^{-3} , $P = 1.7 \times 10^{-3}$), and an increase in the proportion of NeuNNeg/SOX10Pos nuclei (mean change per Braak stage 0.07, $P = 5.6 \times 10^{-4}$) with increasing tau pathology. There were also trends for significant negative correlations between the proportions of NeuNPos nuclei (mean change per Braak stage = -2.8×10^{-3} , $P = 9.9 \times 10^{-3}$), SATB2Pos nuclei (mean change per Braak stage = -3.7×10^{-3} , $P = 5.7 \times 10^{-3}$) and NeuNPos/SOX6Pos (mean change per Braak stage = -1.1×10^{-3} , $P = 7.6 \times 10^{-3}$) and a trend for a positive correlation with NeuNNeg (mean change per Braak stage = 3.6×10^{-3} , $P = 6.5 \times 10^{-3}$).

Discussion

We have generated genome-wide DNAm profiles for different cell types isolated from human cortex tissue, including novel profiles for several glial subtypes. We

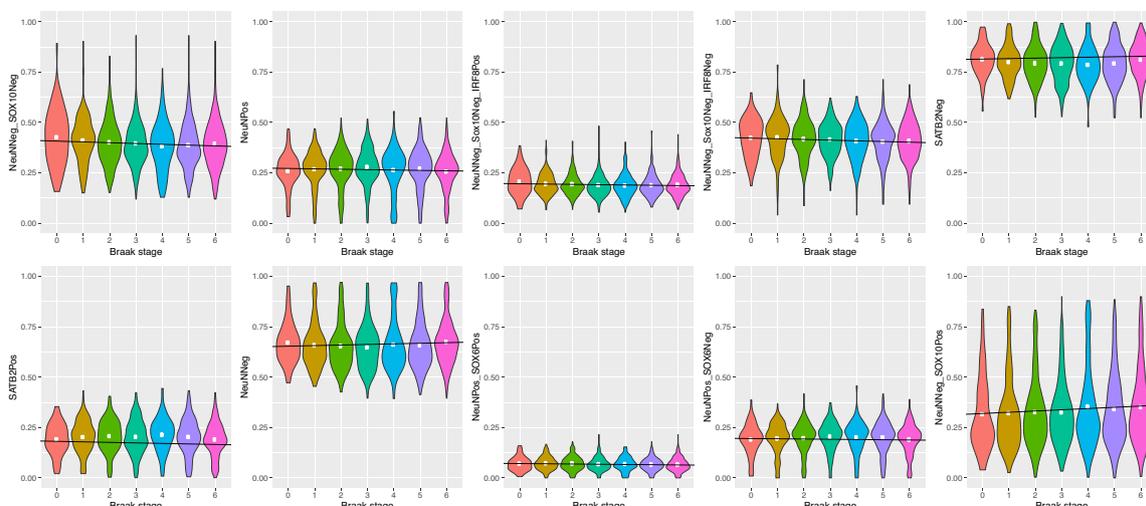


Fig. 6 Cellular composition of adult prefrontal cortex varies as a function of Alzheimer's disease neuropathology. Violin plots of the distribution of proportion of brain cell types estimated from DNA methylation data generated from the prefrontal cortex ($n = 864$) grouped by Braak tangle stage. Each panel represents a different cell type, estimated using the optimal reference panel for that cell type (Additional File 2: Supplementary Table 8)

have demonstrated that these are applicable for use with established deconvolution algorithms and can be used to estimate cellular proportions in the cortex and other regions of the human brain from bulk DNAm data. Our proposed reference panel for deconvolution is the most extensive available for the human cortex and enables the prediction of neurons and glia, in addition to the prediction of two neuronal subtypes (excitatory and inhibitory) and three glial subtypes (oligodendrocytes, microglia and astrocytes). We demonstrate that this approach produces accurate and informative estimates of cellular proportions from DNAm profiles generated using adult bulk human cortex samples but is not appropriate for the use in prenatal or cerebellum samples for which bespoke reference panels will be required.

The first brain-specific deconvolution panel for DNAm analyses was the CETs algorithm, which compares an observed bulk brain DNAm profile to a series of profiles representing a gradient of neuronal to non-neuronal cells and identifying via F-statistics the optimal fit to the observed data [17]. While the underlying algorithm was not adopted widely, the reference data of NeuNPos fractions and NeuNNeg fractions have been repurposed for use with Houseman's reference-based algorithm [9]. The primary limitation for the field though was the limited content of the reference panels to just two major classes of brain cell types.

In parallel to our work, another group collated publicly available reference data from multiple studies for seven cell types to train a deconvolution model for brain [52]. The HIBED algorithm includes the same five cell

types that we isolated, although there are differences in how the samples for the reference profiles of the glial cells were obtained. The HIBED astrocyte profile was generated from a human primary cell line, the microglia profile was obtained using density gradient separation and while the oligodendrocyte sample was isolated using a FANS protocol like ours, they used a different antibody OLIG2. Variation in the isolation process likely explains why we observe different relative abundances of the glial subtypes. Our panel is overall, more homogeneous, with the reference profiles for all cell types generated using the same experimental technique, from an overlapping set of donors and using a consistent technology to quantify DNAm. Furthermore, as all our cell fractions are obtained from human post-mortem brain tissue, we believe that they are more representative of cells in the bulk brain profiles we are trying to derive the cellular composition of. Considering this, we would expect that our panel should lead to more accurate estimates of cellular heterogeneity.

Previous efforts to characterise the cellular composition of the human brain has produced a wide range of estimates, especially where the ratio of different cell types is concerned. This is in part due to the use of different methodologies, but perhaps more critically, due to the study of different brain regions and variation in whether the assay was limited to just the grey matter, white matter or both [51]. Our data could prove valuable in synthesising the existing research into a coherent conclusion.

We observed approximately twice as many glial cells relative to neuronal cells, in line with the previously

reported glial to neuronal ratio for cortical tissue consisting of both white and grey matter [51]. Of neuronal cells, we found that the proportion of GABAergic (inhibitory) neurons in the order of 5–10%, a bit lower compared to published literature stating this is between 10 and 20% [53]. This is not to be unexpected given the use of the SOX6 antibody, which is known to miss some subclasses of GABAergic neurons such as calbindin+ cortical neurons. Within non-neuronal cells, we found that oligodendrocytes were the most frequent glial subtype, representing ~40% of glial cells, followed by astrocytes (~35%) and then microglia (~25%). The rank ordering of abundance of glial subtypes is broadly consistent with the existing literature, although the estimated proportions differ, with a lower than expected proportion of oligodendrocytes and higher than expected proportion of microglia.

We should caveat that our analysis of computationally constructed bulk profiles highlighted that the estimation of microglia proportion is better than the estimation of oligodendrocyte proportion and the estimation of astrocyte proportion is worst. Furthermore, it is plausible that our reference profiles derived from positively selected fractions do not capture the full spectrum of cells targeted. For example, SATB2 may have different efficiencies in isolating external and internal cortical layers and IRF8 may preferentially stain for microglia responding to local inflammatory signals. Critically, our data highlight large variation in the composition of different cell types across samples, consistent with previous deconvolution studies of brain [17, 52] and studies of cellular heterogeneity using other methods [51], reinforcing the importance of including these variables as covariates in association analyses [9].

As well as being potential confounders, there is interest in using these variables as phenotypes in epidemiological studies to identify the sources of the variation. We tested for effects of age and sex, and only found nominal associations between sex and one cell type, inhibitory neurons. To establish the biological validity of these cellular composition variables, we tested them against semi-quantitative measures of Alzheimer's disease neuropathology in existing datasets generated by our group [31, 43, 46]. Our data was consistent with the known neuropathological effects of neuronal loss observed with the progression of Alzheimer's disease [10, 11, 54], highlighting a decrease in the proportion of neurons observed in both inhibitory and excitatory neurons.

We also detected changes in the composition of glial cells with the proportion of oligodendrocytes increasing and the proportions of microglia and astrocytes decreasing as tau tangles accumulate in the brain. This finding

does not contradict reports that astrocytes and microglia exhibit enhanced activity in Alzheimer's disease [12, 13, 55]. Cellular deconvolution harnesses sites in the genome where there are cell-specific DNAm signatures that define cell identity (i.e. ubiquitous across all cells of that type) and likely does not capture changes in activation state (which potentially varies across a population of cells). One of the limitations of the methodology is it only allows us to determine cellular proportions rather than abundances. Given that the proportion of one cell type is influenced by the abundance of all cell types, significant associations with the proportion of an individual cell type might not be due to changes in the abundance of that cell type but changes in the overall composition. For this reason, caution needs to be applied when interpreting significant associations with these variables.

Given the use of four different FANS gating strategies to obtain different populations of nuclei, we had reference data for 10 different fractions of brain cell types, where some of these fractions targeted overlapping sets of nuclei. For this reason, we were able to propose 8 different ways to combine these data into reference panels for cellular deconvolution, with 6 of these reference panels consisting of non-overlapping fractions of nuclei. This is therefore, the most comprehensive study to date investigating how the composition of different reference panels affects the estimation of cellular heterogeneity. While our novel reference panel is primarily of interest to those studying variable DNAm in brain disorders, our analyses provide broader insights into the methodology of cellular deconvolution that are applicable for studies involving any bulk tissue.

It is reasonable to assume that the optimal reference panel would have the most diverse and specific set of cell types available, and our data demonstrate subtle improvements in accuracy when using models that contain a more specific set of subtypes. In addition to comparing different reference panels, we also compared two methods for selecting cell-specific sites (i.e. how the deconvolution model itself is trained) using an ANOVA or the IDOL algorithm [20], although this did not introduce much variation in performance. We note, however, the IDOL algorithm is designed to leverage external, known cellular composition estimates, which we did not have available. Instead we used *in silico* reconstructed profiles of fixed proportions, which might have limited the potential gains of this iterative methodology akin to a competitive learning algorithm.

We found larger differences in performance between cell types and between reference panels than between training methodologies. We conjecture that this is due to variation in the quality of the reference data for each cell type, which is affected by both the signal-to-noise

ratio of the DNAm array data and the efficiency of the isolation of those cell types. We were able to classify the different fractions into three performance tiers. The top tier with near perfect performance in our simulations included NeuNPos (neuronal enriched), NeuNPos/SOX6Pos (GABAergic neuronal enriched), NeuNPos/SOX6Neg (glutamatergic neuronal enriched) and NeuNNeg (glial enriched). The next tier, also associated with high accuracy statistics, included NeuNNeg/SOX10Neg (microglial and astrocyte enriched), NeuNNeg/SOX10Neg/IRF8Pos (microglial enriched), SATB2Pos (excitatory neuronal enriched) and SATB2Neg (inhibitory neuronal and glial enriched). The third tier included NeuNNeg/SOX10Pos (oligodendrocyte enriched) and NeuNNeg/SOX10Neg/IRF8Neg (astrocyte enriched) which were associated with a noticeable drop in performance metrics. While they likely still function as valuable proxies for variation in composition associated with these cell types, they are potentially affected by more noise, which will negatively affect the power to detect between-sample differences with these cell types.

We expected that positively selected fractions (i.e. where an antibody is used to isolate a subset of nuclei) would be associated with a higher degree of accuracy than negatively selected fractions (i.e. the population of unstained nuclei) due to increased levels of heterogeneity. This was not always the case, with the NeuNNeg/SOX10Neg fraction predicted more accurately than NeuNNeg/SOX10Pos fraction. Even within a purified population of nuclei, there is likely to be a heterogeneous mixture of different cellular subtypes and the extent of this heterogeneity will vary depending on the class of cell types and the activation state of any given cell.

Another factor influencing the accuracy of the estimates of particular cell types is the availability of DNAm sites in the dataset that differentiate cell types. As has been shown for cell types in whole blood [56], our data confirmed that the magnitude of differences between brain cells is largely a function of their lineage. In other words, the major source of variation in these data was captured differences between the two major classes of brain cells, neurons and glial. The subsequent lower-order sources of variation then captured the differences within these classes (e.g. astrocytes from oligodendrocytes). Interestingly, microglia, which arise from an entirely different lineage compared to the other brain cell types, sit within the glial cluster. There are fewer (and smaller) differences between more developmentally related cell types to harness for deconvolution, making the analysis more difficult. This highlights a potential limitation of using microarray technology; having genuinely genome-wide DNAm data would

likely be an advantage for or even essential for further resolving the cellular heterogeneity of the brain further into more specialised cell types.

When characterising the performance of estimates of cellular composition, there are two statistical properties to consider. First is the absolute accuracy, which is important if the objective is to make inferences about the cellular profile of the brain. Second is the ability to capture a gradient of variation, i.e. the correlation. This is important if the aim is to test for associations with other phenotypes or use as covariates in analyses. When deciding which set of cellular composition variables to use, it is worth considering what they are going to be used for. If the objective is to test for associations between each cell type and an outcome (or adjusting for this variation) then it would be logical to select the most accurate estimate for each cell type, even if this means using different models for different cell types. The consequence of this approach is that the sum across all the cell types will not total 1.

When comparing the performance of different reference panels, we have demonstrated how our accuracy metric for cellular deconvolution, CETYGO [32], can be applied. Our results reinforce the conclusions from the original work that the parameters of the distribution of the CETYGO score are reference panel and technology specific. The association in the analyses between batch and accuracy highlight that data quality are important not only for increasing power to detect significant effects with an outcome, but also to effectively capture cellular heterogeneity. We therefore recommend that not only do future studies take advantage of our expanded set of brain cell-type composition variables, but that they also include the CETYGO score as part of their quality control process to identify outlier samples.

Conclusions

In summary, we have generated an expanded set of reference data for the purpose of estimating the cellular heterogeneity of DNAm profiles generated from bulk human cortex tissue. These variables will be critical covariates to include in future epigenetic studies of brain disorders to minimise the risk of false positive associations and improve our understanding of the changes in the brain that underpin the development of psychiatric disorders and neurodegenerative diseases.

Methods

Isolation of neural nuclei from post-mortem brain tissue

Post-mortem prefrontal cortex (PFC) samples were processed using our optimised FANS protocol [33]. PFC post-mortem brain tissue from 43 adult donors (aged

55–95 years old) was provided from 9 brain banks from the UK, Canada and USA (Brains for Dementia Research Network of Brain Banks, King's College London, Harvard, UCLA, Oxford, Miami, Douglas Bell, Pittsburgh and Mount Sinai Brain Banks). Human cortex tissue was collected under approved ethical regulation at each centre and transferred to our care through Materials Transfer Agreements.

Five hundred milligrams of frozen brain tissue was homogenised in lysis buffer (2 mL) using a pre-chilled Dounce homogeniser. The homogenate was layered above 8 mL of sucrose solution in ultracentrifuge tubes (Thermo Scientific, Cat N# 03699) (1 mL per tube) and overlaid with a lysis buffer (2 mL per tube) for a final volume of 11 mL. Following the purification of nuclei by density gradient ultracentrifugation (model: Sorvall™ WX 80+; rotor: TH-641; speed: $108,670.8\times g$, 45 min at 4 °C), each nuclei pellet was resuspended in 1 mL staining buffer and incubated on ice for 10 min. Nuclei suspensions were then pelleted in a 2-mL Eppendorf tube (DNase, RNase free) by centrifugation at $1000\times g$, 5 min at 4 °C. After carefully discarding the supernatant, nuclei pellets were resuspended in fresh staining buffer and pooled together. After adding 2 µL of DNA dye (Hoechst 33342, Abcam, Cat # ab228551), 150 µL of nuclei solution (Hoechst only) was transferred in a new 2-mL tube and volume made up to 1 mL with fresh SB for use as the Unstained Control. For the “Stained” tube, the volume removed was replaced with fresh staining buffer and the suspension was then immune-stained with a combination of antibodies including NeuN-Alexa488, anti-SOX10 NL577-conjugated, and/or anti-IRF8 APC-conjugated antibodies. Details of the three different gating strategies we implemented can be seen in Additional File 1: Supplementary Figure 1. Both stained and unstained tubes were incubated for 1.5 h on a spinning rotor in the dark at 4 °C. Tubes were spun at $1000\times g$, for 5 min at 4 °C and the supernatant was carefully discarded from both tubes and remaining nuclei pellets were re-suspend in staining buffer (500 µL unstained, 1–1.5 mL stained tube—dependent on pellet density) using wide bore tips. Tubes were brought to the FACS Aria III cell sorter and kept on ice for the entire procedure of machine setup and sorting. Nuclei suspensions were assessed for the presence of debris by adjusting the gating strategy appropriately before proceeding with nuclei capture. The 100-µM nozzle was used and the event rate during data acquisition and sample collection was kept ≤ 3000 events/s. On average, for each sorted population, 200,000 nuclei were collected for extraction of genomic DNA.

DNA extraction

Nuclei aliquots were defrosted on ice and 50 mL the volume of the aliquots made up to 1 mL with Slagboom buffer (SB) (5 mL $10\times$ STE buffer, 5 mL 5% SDS, 40 mL RNase-free DNase-free water). Nuclei were collected and stored in FACSFlow buffer, approximately 600 µL per tube for 200,000 nuclei. One microliter of DNase-free RNase-A (10 mg/ml) per 500 µL of sample was added and the samples were incubated at 37 °C for 45 min (heat block). Five microliters of proteinase K (20 mg/ml) (Thermo Fisher Scientific, Waltham, MA, USA) was then added and the samples were inverted at least 10 times. The samples were then incubated at 60 °C for 1 h, and then cooled to room temperature (RT) for 5 min. Two hundred microliters of “Majik Mix” (a proprietary reagent made from 1:1 ratio yeast Reagent 3 (Autogen Bioclear, Caine, Wiltshire, UK) and 100% ethanol) was added, and the samples were mixed by vigorous inversions before being centrifuged at $17,000\times g$ for 10 min at RT. For each sample, the supernatant was carefully recovered and transferred to a new labelled tube (50 µL was left at the bottom of each tube). Another 200 µL of Majik Mix was added to each tube, and samples were again mixed by vigorous inversion before being centrifuged at $17,000\times g$ for 10 min at RT. The upper layer of each tube was carefully recovered (making sure to leave approximately 50 µL to prevent carrying over any of the lower layer) and transferred to a new appropriately labelled tube. Where exceeding 1 mL total volume, supernatant was equally distributed into 2 new tubes. An equal volume of 100% Isopropanol (Sigma-Aldrich Corporation, St. Louis, MO, USA) was added to each sample (e.g. 1 mL supernatant + 1 mL 100% Isopropanol) and slowly mixed by inverting to precipitate the DNA. At this stage, 0.5–0.8 µL GlycoBlue™ Co-precipitant (Invitrogen Ltd, Inchinnan, UK) was added to each sample. When a typical acetate/alcohol precipitation is done, the GlycoBlue™ Coprecipitant will precipitate with the nucleic acids, facilitating good DNA recovery while increasing the size and visibility of the pellet. The samples were then mixed by inverting the tubes ~10 times and centrifuged at $17,000\times g$ for 15 min at RT. For each tube, the supernatant was carefully removed and discarded. Five hundred microliters of 80% ethanol was added to each tube, samples were then mixed gently and centrifuged at $17,000\times g$ for 5 min. The supernatant was carefully removed and the pellets were left to air dry for 20 min or until dry. Each DNA pellet was resuspended in 15 µL of RNase, DNase-free water and left at 4 °C overnight to fully dissolve before quantification.

Methylomic profiling

Five hundred nanograms of genomic DNA from each sample was treated with sodium BS using the Zymo EZ-96 DNA Methylation-Gold™ Kit (Cambridge Bioscience, UK) according to the manufacturer's standard protocol. All samples were then processed using the EPIC 850 K array (Illumina Inc, CA, USA) according to the manufacturer's instructions, with minor amendments and quantified using an Illumina HiScan System (Illumina, CA, USA). Individuals were randomised and sorted fractions from the same individual and FACs gating run were processed on the same BeadChip, where within a BeadChip the location of each fraction was randomised. In total, 42 NeuNPos, 39 NeuNNeg/SOX10Pos, 33 NeuNNeg/SOX10Neg, 12 SATB2Pos, 19 NeuNNeg/SOX10Neg/IRF8Neg, 34 NeuNNeg/SOX10Neg/IRF8Pos and 9 SATB2Neg samples were run on the DNAm arrays.

DNAm data preprocessing

DNA methylation data was loaded in R (version 3.6.3) from idat files using the package bigmelon [57]. These data were processed through a standard quality control pipeline which included the following steps: (1) checking methylated and unmethylated signal intensities, excluding samples where this was < 500 ; (2) using the control probes to ensure the sodium bisulfite conversion was successful, excluding any samples with median < 80 ; (3) use of the 59 SNP probes to confirm that samples from the sample individual were genetically identical; (4) pfilter function from watermelon package to exclude samples with $> 1\%$ of probes with detection P -value > 0.05 and probes with $> 1\%$ of samples with detection P -value > 0.05 ; (5) counting the number of missing values per sample and excluding samples with $> 2\%$ probes missing.

To confirm the success of the FANS sorting, we applied a bespoke classification algorithm based on principal components analysis across all autosomal DNA methylation sites. The general objective was to compare each sample to the average profile of the labelled sample type. We Studentized the values of the first two principal components and excluded those above a threshold of 1.5, to minimise the effects of outliers (which are likely to be due to either mislabelling or suboptimal FANS sorting) on the average profiles for each cell type. For each cell type, we then calculated the mean and standard deviation (SD) of the first two principal components only including the non-outlier samples. These were then used to calculate sample level scores that captured the similarity of the observed sample and the expected profile for that cell type. This was defined as the value of the principal component for that sample minus the mean for the cell type divided by the standard deviation for the cell type.

The value can be interpreted as the number of SD from the mean that sample is, where lower values are desirable. This was performed separately for the first two principal components and then combined into a single score by taking the maximum, referred to here as the maxSD score. Prior to confirming the labelling of individual samples, we first wanted to confirm at an individual level that we had successfully isolated distinct fractions of nuclei. For this, we calculated individual-level metrics that represents the efficiency of the FANS sort. These are defined as the median across all the maxSD scores for that individual. Where the FANS sorting worked well (i.e. all antibodies were stained and gated accurately), all the samples from that individual should be close to their relevant average profile and this score will be low. Where the FANS sorting for an individual did not successfully isolate the relevant cell types, these samples will still be heterogeneous mixtures of cells and sit in the middle of the principal component space, far away from their average profile, all with large maxSD. By taking the median, we ensure that we focus here on detecting FANS sorts, where the separation into specific cell types was not successful, rather than instances where just one/two samples are affected/mislabelled. Visual inspection of the best and worst performing individuals, informed us that a threshold of 5 was appropriate. It is also important to exclude these individuals prior to performing the cell type checking at a sample level as it enables us to ensure we have high signal-to-noise average profiles for the cell types. Having excluded all the samples associated with any individual deemed to have inefficient FANS sorting, we recalculated the Studentized values prior to recalculating the cell type means and SD for the first two principal components. Samples were retained if their principal components values were within two standard deviations of the mean of their labelled cell type. Samples that were more than two standard deviations away from the mean in either of the first two principal components were excluded from further analyses. Samples were then normalised using the dasen function [58], separately for each cell type.

EPIGABA data

DNA methylation data generated with Illumina 450 K BeadChip array were downloaded from the Synapse portal (syn7072866) for 5 NeuNPos/SOX6Pos, 5 NeuNPos/SOX6Neg and 5 NeuNNeg samples. idat files for these samples were put through the same quality control pipeline described above, and the same classification algorithm was performed to confirm successful isolation and high-quality reference data for the purpose of cellular deconvolution.

Merging reference DNA methylation datasets

Our Exeter reference dataset was joined to the EpiGABA reference dataset. Given the use of two different technologies, we filtered to sites common to both the EPIC array and 450K array. Prior to training any deconvolution models, these datasets were filtered to only include autosomal DNAm sites and remove cross-hybridising probes and SNP probes as defined in publicly available resources [59, 60].

Generation of deconvolution models and selection of cell-specific sites

Given the range of different cell types we have isolated, and the fact that these represent overlapping sets of nuclei, we defined 8 different combinations of cell types each of which represent a different reference panel (Additional File 1: Supplementary Figure 3). To test and compare the performance of these panels against a known truth, we trained a series of Houseman constraint projection deconvolution models using our novel reference data. These were then tested against reconstructed brain tissue DNAm profiles where we combined cell-specific profiles in a weighted linear sum of pre-specified proportions of each cell type. For each simulation, one sample for each cell type was removed to generate the testing data, and the remaining samples formed the training data, such that the train and test data consisted of distinct sets of samples. It should be noted though that they were from the same experimental batch, and plausibly share technical, batch-specific effects. In this framework, training the models essentially means selecting the cell-specific sites that form the basis of the deconvolution algorithm. We used two different methods to select these sites. First, an ANOVA was performed across all samples in the training data to identify sites that are significantly different (P -value $< 1 \times 10^{-8}$) between the brain cell types, selecting $2N$ sites per cell type (N hypermethylated and N hypomethylated). This is the approach implemented by *minfi* (via the *EstimateCellCounts* function) [61]. The second approach is the IDOL method [20]. This also starts with an ANOVA to identify a larger pool of possible cell-specific sites, in our case the default selection of 150 sites per cell type with smallest and largest t -statistics. It then tests random subsets of sites to refine this list to a smaller set of size M probes such that the optimal performance is achieved. To determine whether a particular subset of sites is a better fit than the current best subset, it requires a separate set of reconstructed test profiles with known cellular composition. These were constructed as described below for our testing data but from a sample selected at random from the training samples. The effect of individual CpGs on the accuracy of the deconvolution is assessed by comparing the accuracy of estimated

cellular composition with and without that CpG. CpGs that confer a positive effect are then up weighted such that they are more likely to be selected in the next random subset. The selection of random subset of sites was performed a maximum of 300 times. This optimisation was performed using the *IDOLoptimize()* function provided in the IDOL R package. Note the IDOL method is only applicable to reference panels with > 2 cell types. Therefore from our 8 reference panels, there were 15 trained models. For each of these models, we trained the models multiple times to select between 20 and 200 probes per cell type, increasing in units of 20 probes.

Generation of simulated bulk brain profiles

To construct bulk brain profiles for testing, we combined the cell-specific test profiles in fixed proportions that represented the full spectrum of possible combinations. Each reference panel was only tested against reconstructed profiles consisting of the same cell types. Cell-type proportions were increased in 0.1 units, where each cell type represented at least 0.1, up to a maximum of 0.9 and such that the total of all cell-type proportions equalled 1. As each reference panel consists of different numbers of cell types, the possible number of reconstructed profiles tested differs by virtue of the different number of combinations possible with that number of cell types. DNAm levels in the test data at these cell-specific sites are then computed into estimates of cellular proportions using a quadratic programming methodology as described by Houseman [16]. This process was repeated for 10 different train-test splits of the reference data. This methodology was implemented using functions in the CETYGO package [32] which are adaptations of functions from the *minfi* package [61] that takes matrices of beta values as input for the training and testing data.

Training deconvolution models for use with empirical bulk brain profiles

To train the deconvolution algorithm for all 15 models for use with empirical bulk brain datasets, and for sharing with the wider research community, we used all available samples for each cell type (Additional File 2: Supplementary Table 1). Cell-specific sites were selected in the same way as above with a total of 100 probes per cell type selected (i.e. for a two-cell-type reference panel, up to 200 probes were selected). To quantify the accuracy of the deconvolution in real data where the true cellular composition is unknown, we used our recently published metric CETYGO [32] designed specifically for this scenario. The CETYGO score captures the difference between a sample's observed DNAm profile and its expected profile given the estimated cellular proportions

and cell-type reference profiles. CETYGO is defined as the root mean square error (RMSE) between the bulk DNAm profile and the expected profile calculated as the sum of the estimated proportions for each of N estimated cell types against the mean DNAm level across the M cell-type-specific DNAm sites used to perform the deconvolution. By definition, 0 is the lowest value the CETYGO score can take and would indicate a perfect estimate. Higher values of the CETYGO score are indicative of larger errors and therefore a less accurate estimation of cellular composition. Our previous analyses in blood indicate that the majority of good-quality samples have a CETYGO score < 0.05 and scores > 0.1 indicate an incorrect or incomplete panel of reference cell types has been used.

Profiling the performance of neural cell-type deconvolution in empirical datasets

We used four datasets of bulk brain DNAm profiles from two sources to further characterise the performance of the neural reference panels. The first source contains data generated by our group at the University of Exeter (www.epigenomicslab.com) across a range of projects and includes (i) a dataset of 377 adult PFC samples (BA9) [41–44], (ii) a dataset of 851 adult samples from 9 other brain regions including additional cortical regions, the striatum, the hippocampus and cerebellum (Additional File 2: Supplementary Table 6) [40–44, 47], and 167 prenatal and childhood samples [40, 49]. The second source is the publicly available data provided by Jaffe et al. [45] and includes 415 adult PFC samples. All datasets were processed by our group through a standard QC pipeline [31] and were normalised using the *dasen* function in the *wateRmelon* package [62]. Cellular composition was estimated for all samples using all 15 models and then selecting the estimates from the best performing models for each cell type (Additional File 2: Supplementary Table 8).

In the adult PFC datasets, we used a linear regression model to test for batch effects (slide) and biological (age, age² and sex) effects on the CETYGO score. P -values for the age, age² and sex covariates were taken from t -tests of the estimated regression coefficients. The P -value for the batch effect was taken from an ANOVA comparing with full model to a nested model without the batch covariate. In the Exeter adult multi-tissue dataset, we tested for brain region effects on the CETYGO scores using a linear regression model, where PFC (BA9) was set to the baseline, so that we estimated coefficients and P -values for all other brain regions were relative to the PFC. In this analysis, we controlled for age, age² and sex but not batch, as data

generated from different brain regions were run in different batches. To test for effects on cellular composition, we used the same linear regression models as described here for the estimated proportion of each cell type in turn.

Testing the associations between cellular composition and Alzheimer's disease neuropathology

We additionally generated estimates of cellular composition in three in-house Alzheimer's disease DNA methylation datasets [31, 43, 44], where data had been generated from DNA extracted from the PFC (Additional File 2: Supplementary Table 10). Cellular composition was estimated for all samples using all 15 models and then selecting the estimates from the best performing models (Additional File 2: Supplementary Table 8). We used a linear regression model within each cohort to test for associations between Braak stage (modelled as a continuous variable) and either the CETYGO score or estimated proportion of each cell type, including covariates for age and sex. The estimated coefficients for Braak stage and the associated standard errors were then meta-analysed together using the R package *meta* [63]. Given that we only included three studies, we present only the fixed effect results in the main text, but the random effect results are also available in the relevant Supplementary Tables (Additional File 2).

Abbreviations

ANOVA	Analysis of variance
CETs	Cell epigenotype specific
DNA	Deoxyribonucleic acid
DNAm	DNA methylation
EWAS	Epigenome-wide association studies
FANS	Fluorescence-activated nuclei sorting
HIBED	Hierarchical brain extended deconvolution
IDOL	Identifying optimal libraries
PFC	Prefrontal cortex
PC	Principal component
RMSE	Root mean square error
SNP	Single-nucleotide polymorphism
SD	Standard deviation

Supplementary Information

The online version contains supplementary material available at <https://doi.org/10.1186/s12915-024-01827-y>.

Additional file 1: Supplementary Figures. Pdf file with Supplementary Figures 1–25.

Additional file 2: Supplementary Tables. Excel spread sheet with Supplementary Tables 1–12.

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Authors' contributions

EH, ELD, LCS and JM designed the study; JB, BC, JPD, GETB, GC, RB and SP generated the data; EH, EMW and AF analysed the data; EH and JM interpreted the data and drafted the manuscript. All authors read and approved the final manuscript.

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Availability of data and materials

All data generated or analysed during this study are included in this published article, its supplementary information files and publicly available repositories. Data generated for this project are available at NCBI Gene Express Omnibus (GEO) under accession number GSE234520 [64]. We also reanalysed data previously made available via GEO (via accession numbers GSE74193 [65], GSE59685 [66], GSE80970 [67], GSE88890 [68], GSE43414 [69]) and the synapse platform (syn7072866 [70], syn8263588 [71]). Code for the analyses presented here can be found on GitHub and Zenodo <https://github.com/ejh243/BrainFANS/tree/master/array/DNAM/preprocessing> (<https://doi.org/10.5281/zenodo.10402167>). Specifically, code for the quality control of the DNAm data can be found at <https://github.com/ejh243/BrainFANS/tree/master/array/DNAM/preprocessing> and the code for the statistical analyses can be found at <https://github.com/ejh243/BrainFANS/tree/master/array/DNAM/analysis/neuralCellComposition>. Our new trained deconvolution models for brain are made available to the wider research community via our R package

CETYGO available on GitHub (<https://github.com/ds420/CETYGO>; <https://doi.org/10.5281/zenodo.10418430>).

Declarations

Ethics approval and consent to participate

Ethical approval for the study was granted by the University of Exeter Medical School Research Ethics Committee (13/02/009).

Consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

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